

# Computer Graphics

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# Geometrical Transformations

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- Mathematical Preliminaries
  - 2D Transformations
  - Homogeneous Coordinates & Matrix Representation
  - The Window-to-Viewport Transformation
  - 3D Transformations
  - Quaternions
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# Vectors

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- A vector is an entity that possesses *magnitude* and *direction*.
- A ray (directed line segment), that possesses *position*, *magnitude*, and *direction*.



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# Vectors

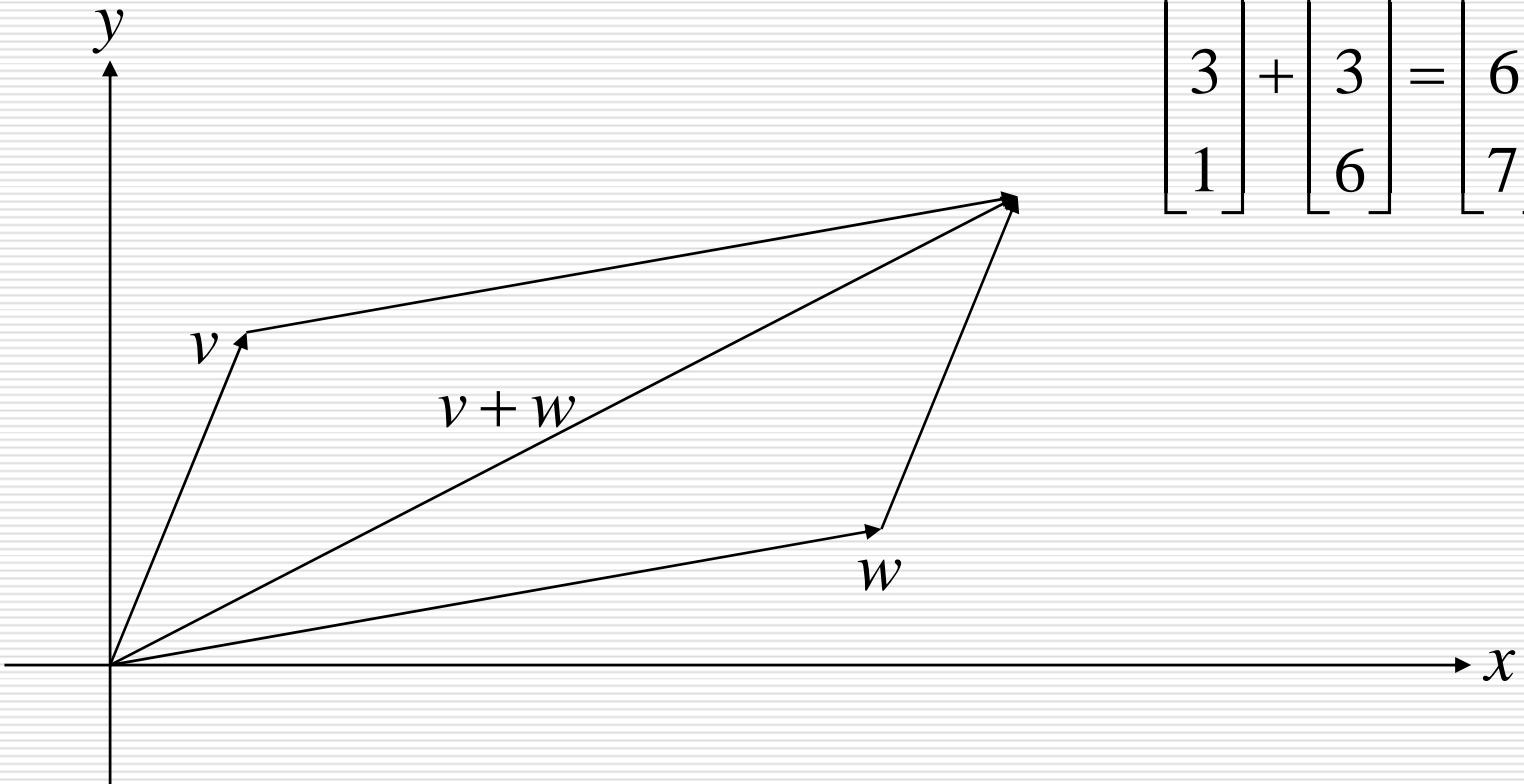
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- vector
    - an n-tuple of real numbers (scalars)
    - two operations: addition & multiplication
    - Commutative Laws
      - $a + b = b + a$
      - $a \cdot b = b \cdot a$
    - Identities
      - $a + 0 = a$
      - $a \cdot 1 = a$
    - Associative Laws
      - $(a + b) + c = a + (b + c)$
      - $(a \cdot b) \cdot c = a \cdot (b \cdot c)$
    - Distributive Laws
      - $a \cdot (b + c) = a \cdot b + a \cdot c$
      - $(a + b) \cdot c = a \cdot c + b \cdot c$
    - Inverse
      - $a + b = 0 \rightarrow b = -a$
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# Addition of Vectors

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- parallelogram rule



$$\begin{bmatrix} 1 \\ 3 \\ 1 \end{bmatrix} + \begin{bmatrix} 2 \\ 3 \\ 6 \end{bmatrix} = \begin{bmatrix} 3 \\ 6 \\ 7 \end{bmatrix}$$

# The Vector Dot Product

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$$u = \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} \quad v = \begin{bmatrix} y_1 \\ \vdots \\ y_n \end{bmatrix}$$

$$\Rightarrow u \bullet v = x_1 y_1 + \dots + x_n y_n$$

□ length =  $\sqrt{u \bullet u} = \|u\|$

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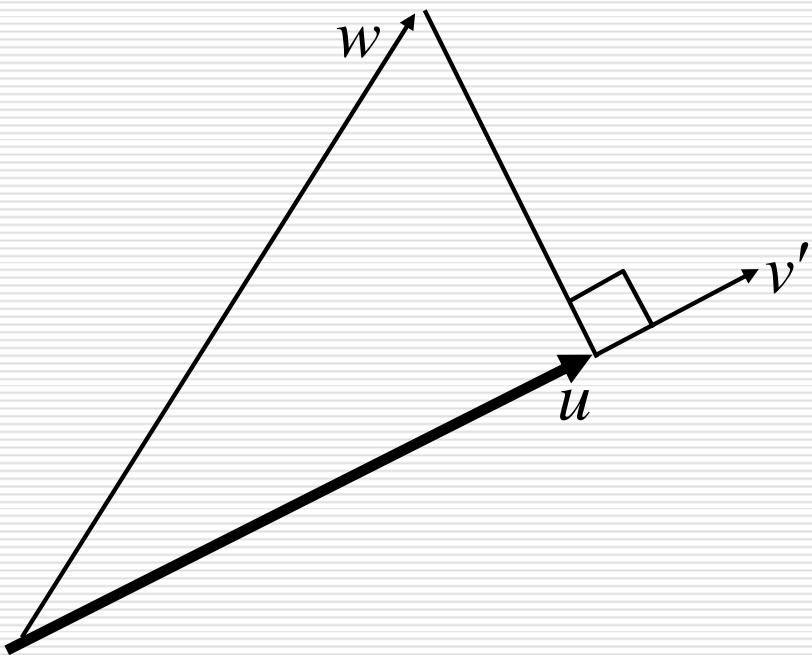
# Properties of the Dot Product

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- symmetric
    - $v \bullet w = w \bullet v$
  - nondegenerate
    - $v \bullet v = 0$  only when  $v = 0$
  - bilinear
    - $v \bullet (u + \alpha w) = v \bullet u + \alpha(v \bullet w)$
  - unit vector (normalizing)
    - $v' = v / \|v\|$
  - angle between the vectors
    - $\cos^{-1}(v \bullet w / \|v\| \|w\|)$
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# Projection

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$$\begin{aligned}\|u\| &= \|w\| \cos \theta \\&= \|w\| \left( \frac{v' \bullet w}{\|v'\| \|w\|} \right) \\&= v' \bullet w\end{aligned}$$

# Matrix Basics

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## □ Definition

$$\mathbf{A} = (a_{ij}) = \begin{bmatrix} a_{11} & \dots & a_{1n} \\ \vdots & & \vdots \\ a_{m1} & \dots & a_{mn} \end{bmatrix}$$

## □ Transpose

$$\mathbf{C} = \mathbf{A}^T \quad c_{ij} = a_{ji} \Rightarrow \mathbf{C} = \begin{bmatrix} a_{11} & \dots & a_{n1} \\ \vdots & & \vdots \\ a_{1m} & \dots & a_{nm} \end{bmatrix}$$

## □ Addition

$$\mathbf{C} = \mathbf{A} + \mathbf{B} \quad c_{ij} = a_{ij} + b_{ij}$$

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# Matrix Basics

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## □ Scalar-matrix multiplication

$$\mathbf{C} = \alpha \mathbf{A} \quad c_{ij} = \alpha a_{ij}$$

## □ Matrix-matrix multiplication

$$\mathbf{C} = \mathbf{AB} \quad c_{ij} = \sum_{k=1}^n a_{ik} b_{kj}$$

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# Cross Product of Vectors

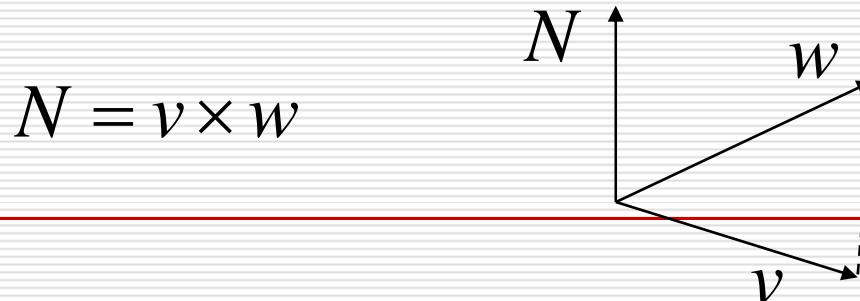
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## □ Definition

- $x = v \times w$   
 $= (v_2 w_3 - v_3 w_2) \mathbf{i} + (v_3 w_1 - v_1 w_3) \mathbf{j} + (v_1 w_2 - v_2 w_1) \mathbf{k}$
- where  $\mathbf{i}$ ,  $\mathbf{j}$  and  $\mathbf{k}$  are standard unit vectors:  
 $i = (1, 0, 0)$     $j = (0, 1, 0)$     $k = (0, 0, 1)$

## □ Application

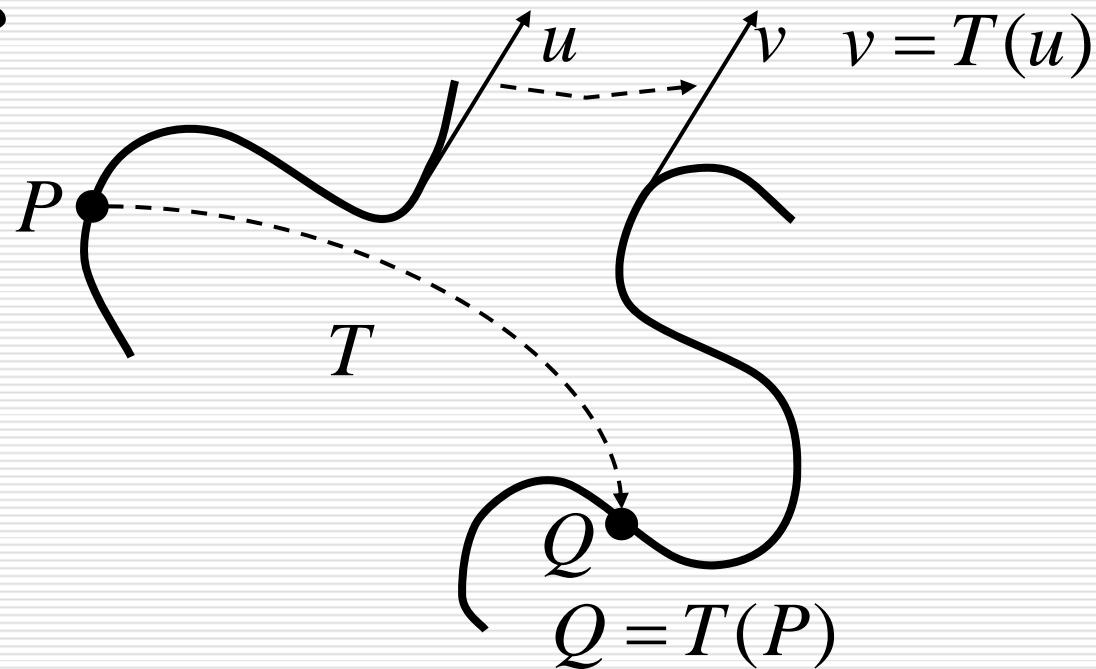
- A normal vector to a polygon is calculated from 3 (non-collinear) vertices of the polygon.



# General Transformations

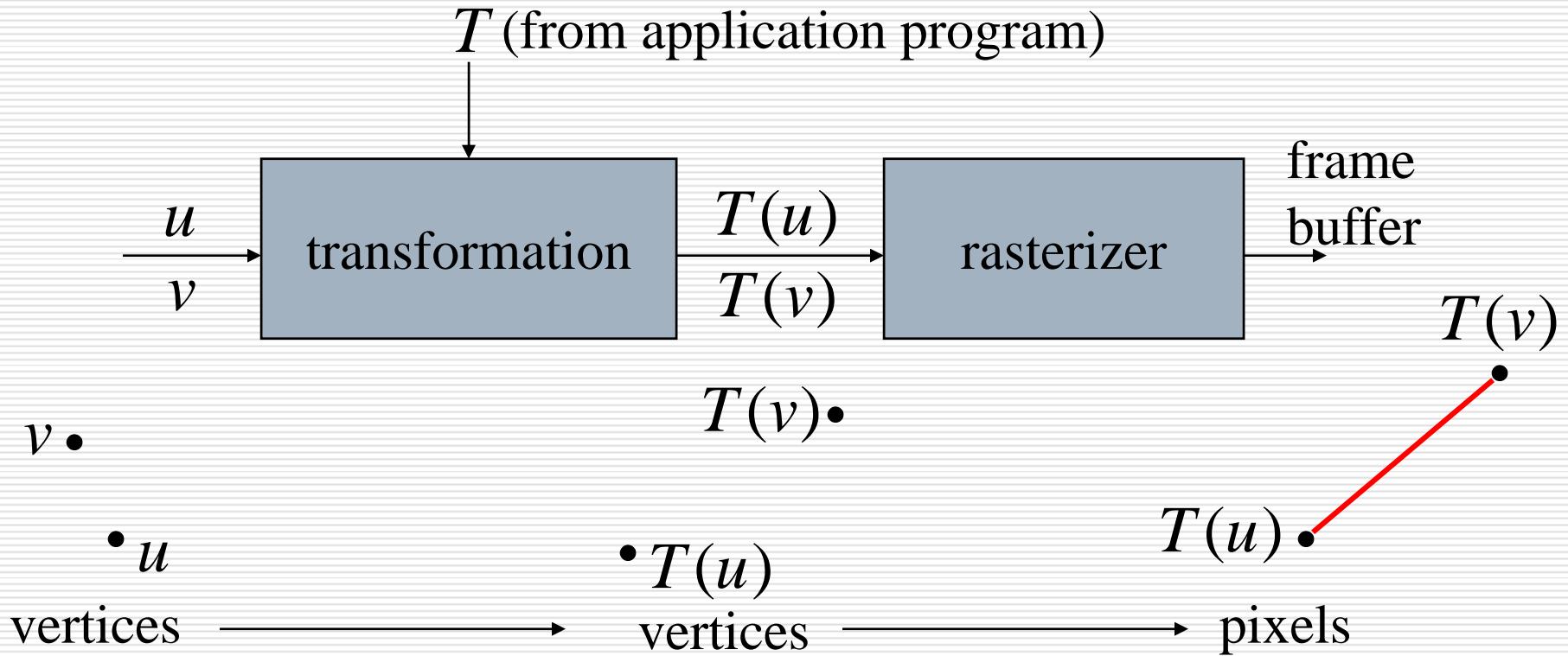
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- A transformation maps points to other points and/or vectors to other vectors



# Pipeline Implementation

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# Representation

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- We can represent a **point**,  $\mathbf{p} = (x, y)$  in the plane

- as a column vector  $\begin{bmatrix} x \\ y \end{bmatrix}$

- as a row vector  $[x \quad y]$



# 2D Transformations

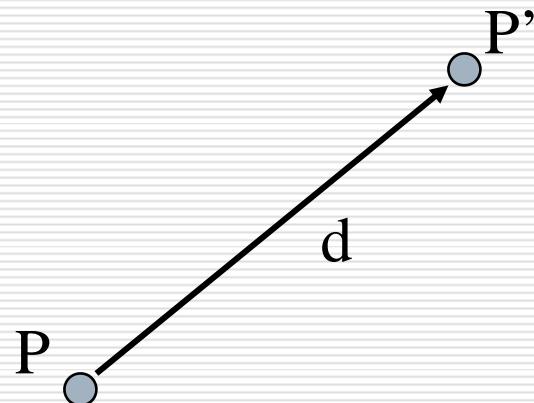
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- 2D Translation
  - 2D Scaling
  - 2D Reflection
  - 2D Shearing
  - 2D Rotation
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# Translation

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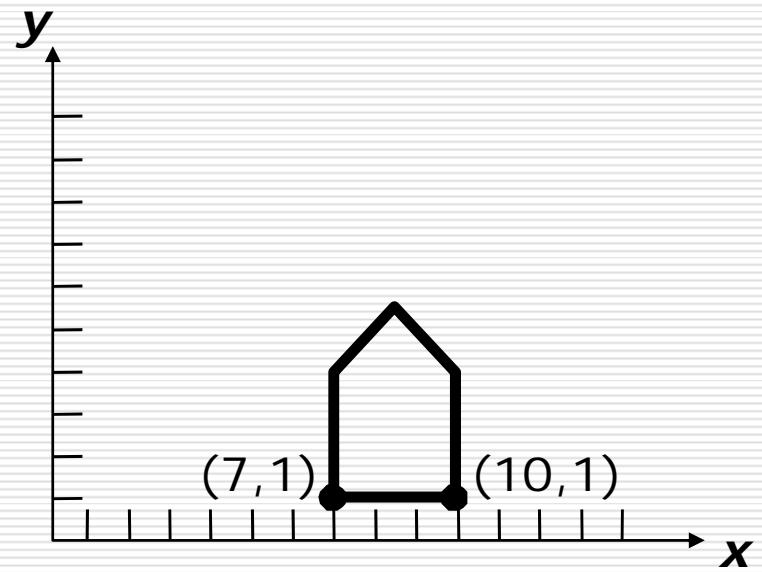
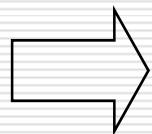
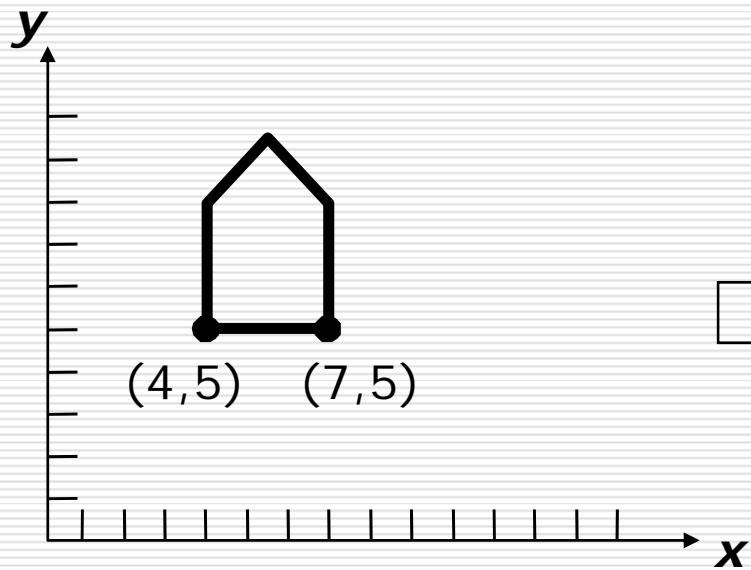
- Move (translate, displace) a point to a new location



- Displacement determined by a vector  $d$ 
    - Three degrees of freedom
    - $P' = P + d$
-

# 2D Translation

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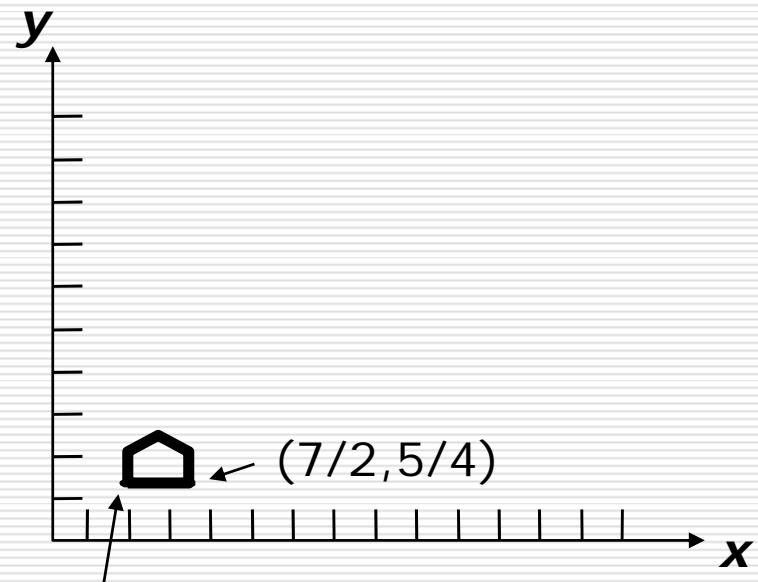
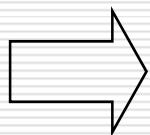
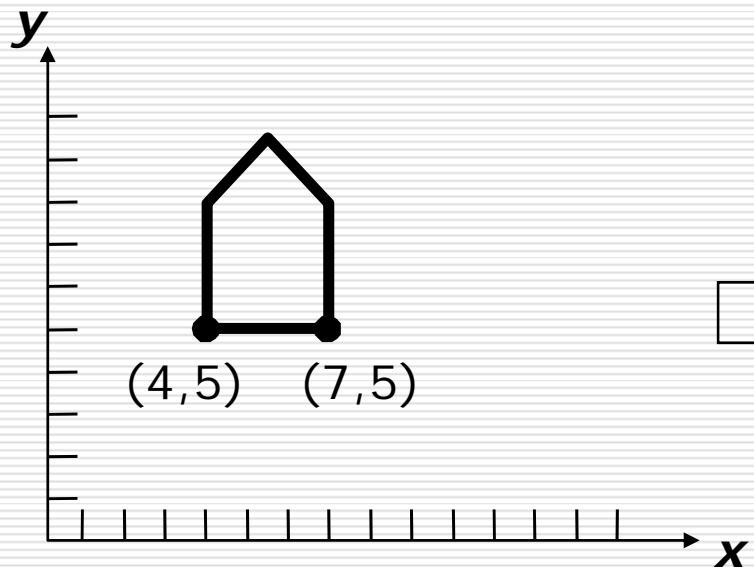


$$P' = P + T$$
$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} d_x \\ d_y \end{bmatrix}$$

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# 2D Scaling

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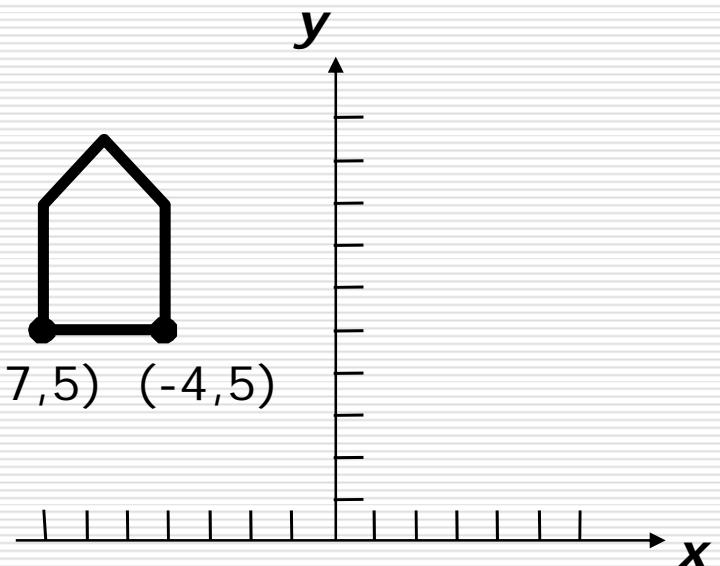
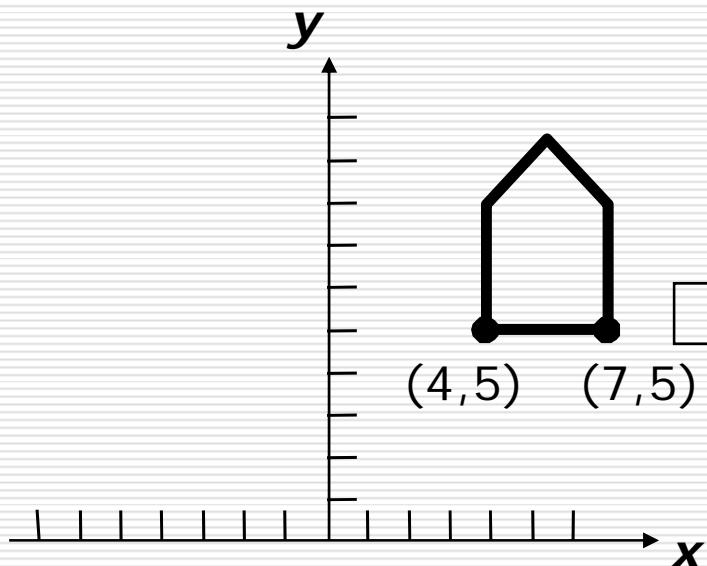


$$P' = S \bullet P$$

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} s_x & 0 \\ 0 & s_y \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

# 2D Reflection

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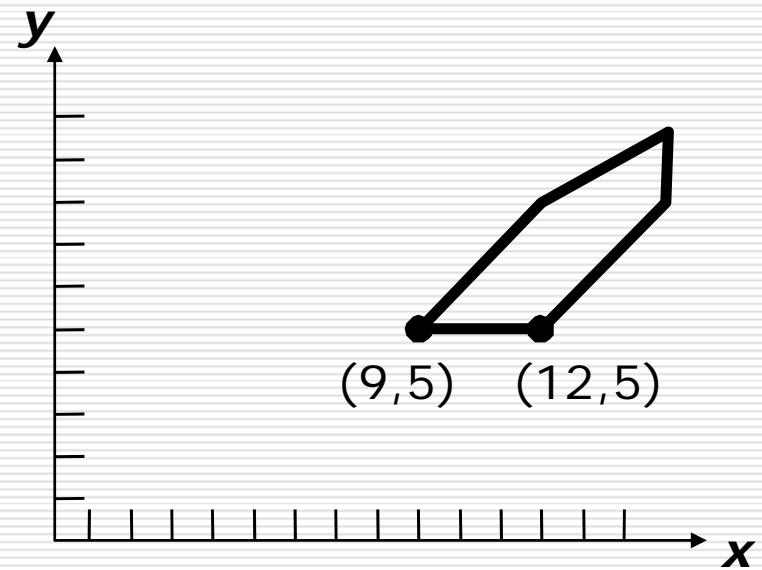
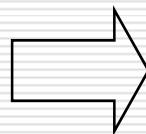
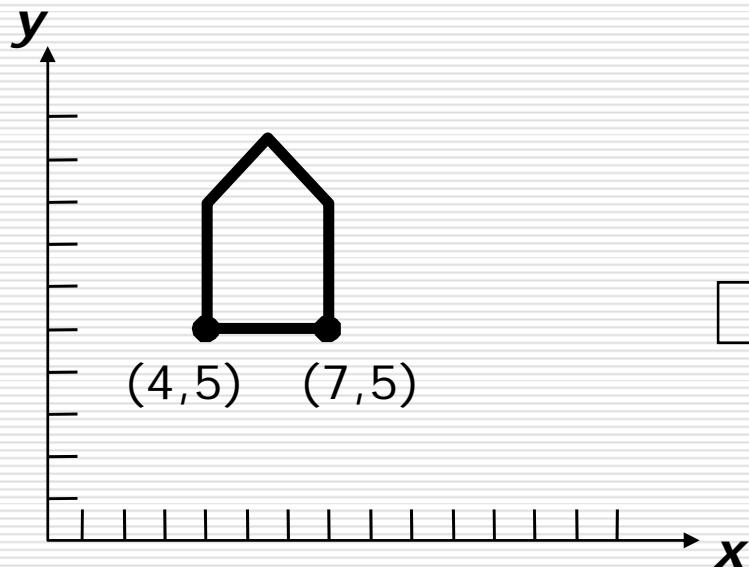
$$P' = RE_x \bullet P$$

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

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# 2D Shearing

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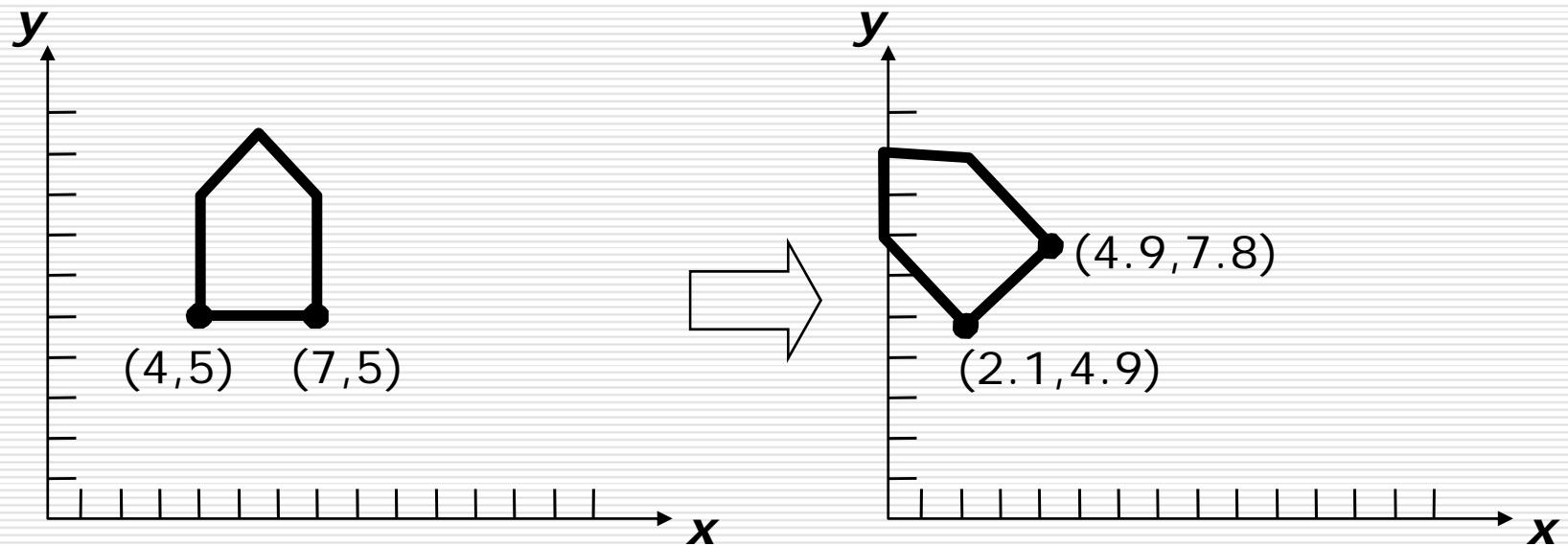
$$P' = SH_x \bullet P$$

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} 1 & sh_x \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

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# 2D Rotation

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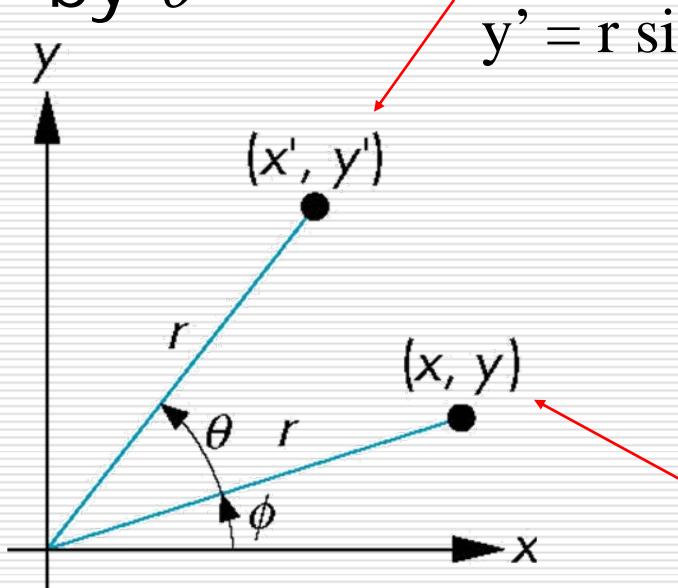
$$P' = R \bullet P$$
$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \bullet \begin{bmatrix} x \\ y \end{bmatrix}$$

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# 2D Rotation

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- Consider rotation about the origin by  $\theta$  degrees
- radius stays the same, angle increases by  $\theta$



$$x' = r \cos(\phi + \theta)$$
$$y' = r \sin(\phi + \theta)$$

$$x' = x \cos \theta - y \sin \theta$$
$$y' = x \sin \theta + y \cos \theta$$

$$x = r \cos \phi$$

$$y = r \sin \phi$$

# Limitations of a 2X2 matrix

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- Scaling
  - Rotation
  - Reflection
  - Shearing
- 
- What do we miss?
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# Homogeneous Coordinates

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## □ Why & What is **homogeneous coordinates** ?

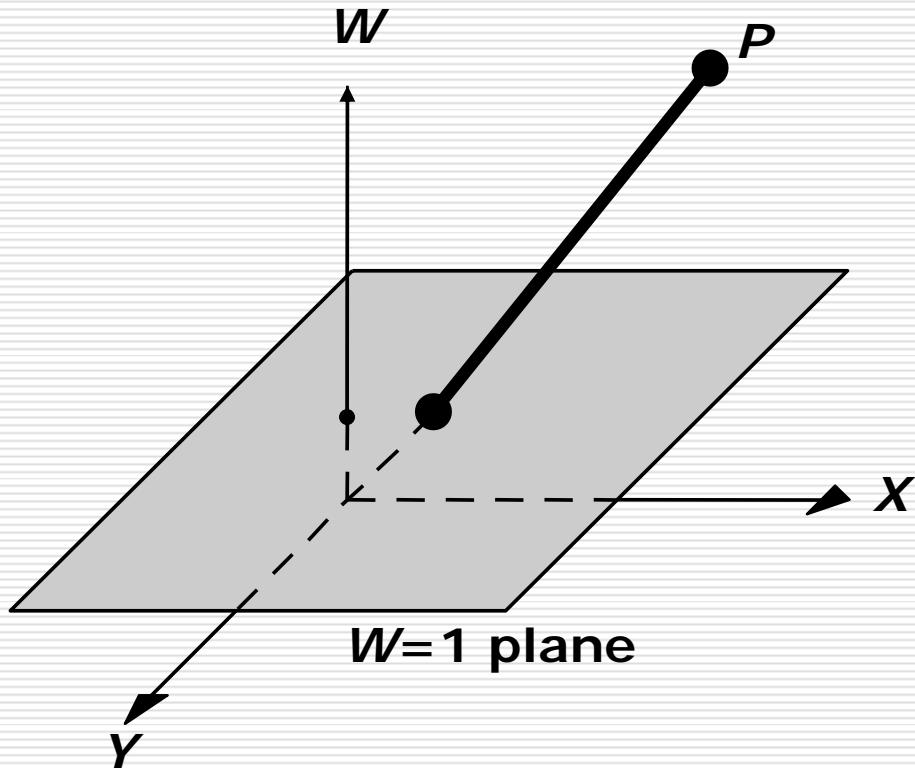
- if points are expressed in homogeneous coordinates, all three transformations can be treated as multiplications.

$$(x, y) \rightarrow (x, y, W)$$

↑  
usually 1  
can not be 0

# Homogeneous Coordinates

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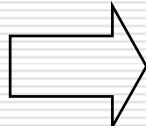


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# Homogeneous Coordinates for 2D Translation

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$$P' = P + T$$
$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} d_x \\ d_y \end{bmatrix}$$



$$P' = T(d_x, d_y) \bullet P$$
$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & d_x \\ 0 & 1 & d_y \\ 0 & 0 & 1 \end{bmatrix} \bullet \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$P' = T(d_{x1}, d_{y1}) \bullet P$$

$$P'' = T(d_{x2}, d_{y2}) \bullet P'$$

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# Homogeneous Coordinates for 2D Translation

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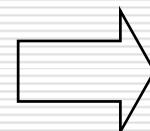
$$\begin{aligned}P'' &= T(d_{x2}, d_{y2}) \bullet (T(d_{x1}, d_{y1}) \bullet P) \\&= (T(d_{x2}, d_{y2}) \bullet T(d_{x1}, d_{y1})) \bullet P\end{aligned}$$

$$\begin{aligned}T(d_{x2}, d_{y2}) \bullet T(d_{x1}, d_{y1}) &= \begin{bmatrix} 1 & 0 & d_{x2} \\ 0 & 1 & d_{y2} \\ 0 & 0 & 1 \end{bmatrix} \bullet \begin{bmatrix} 1 & 0 & d_{x1} \\ 0 & 1 & d_{y1} \\ 0 & 0 & 1 \end{bmatrix} \\&= \begin{bmatrix} 1 & 0 & d_{x1} + d_{x2} \\ 0 & 1 & d_{y1} + d_{y2} \\ 0 & 0 & 1 \end{bmatrix}\end{aligned}$$

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# Homogeneous Coordinates for 2D Scaling

$$P' = S \bullet P$$
$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} s_x & 0 \\ 0 & s_y \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$



$$P' = S(s_x, s_y) \bullet P$$

$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \bullet \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$S(s_{x2}, s_{y2}) \bullet S(s_{x1}, s_{y1}) = \begin{bmatrix} s_{x2} & 0 & 0 \\ 0 & s_{y2} & 0 \\ 0 & 0 & 1 \end{bmatrix} \bullet \begin{bmatrix} s_{x1} & 0 & 0 \\ 0 & s_{y1} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$
$$= \begin{bmatrix} s_{x1} \bullet s_{x2} & 0 & 0 \\ 0 & s_{y1} \bullet s_{y2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

# Homogeneous Coordinates for 2D Rotation

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$$\begin{aligned} P' &= R \bullet P \\ \begin{bmatrix} x' \\ y' \end{bmatrix} &= \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \bullet \begin{bmatrix} x \\ y \end{bmatrix} \xrightarrow{\text{Diagram}} \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \bullet \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} \end{aligned}$$

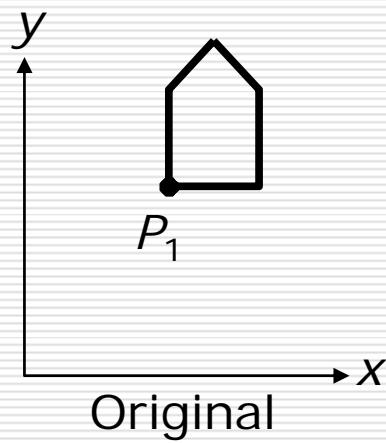
# Properties of Transformations

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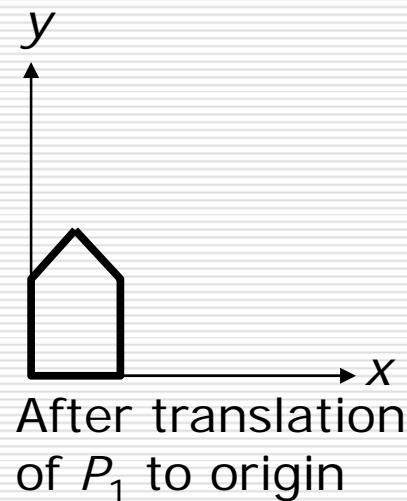
- rigid-body transformations
    - rotation & translation
    - preserving angles and lengths
  - affine transformations
    - rotation & translation & scaling
    - preserving parallelism of lines
-

# Composition of 2D Transformations

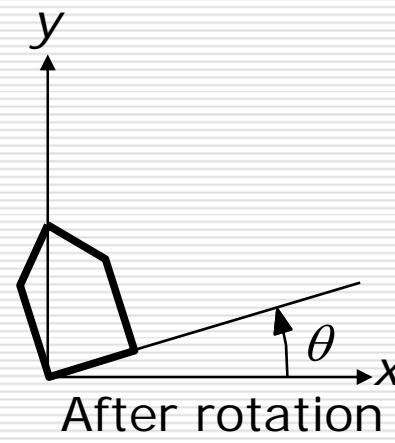
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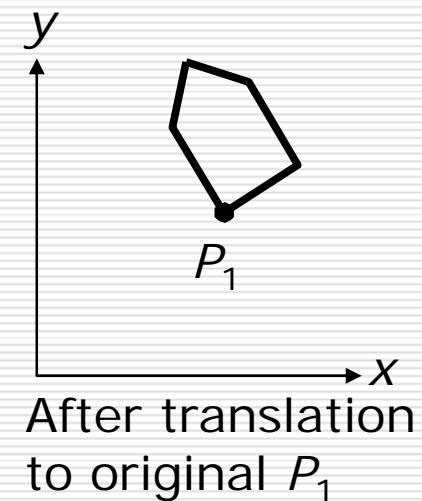
$$P_1 = (x_1, y_1)$$



$$T(-x_1, -y_1)$$



$$R(\theta)$$



$$T(x_1, y_1)$$

# Composition of 2D Transformations

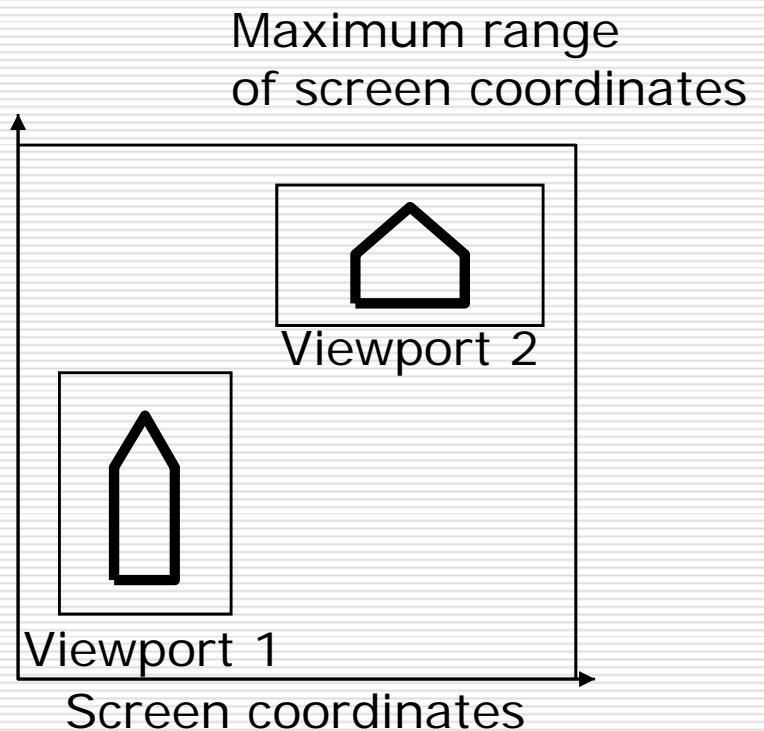
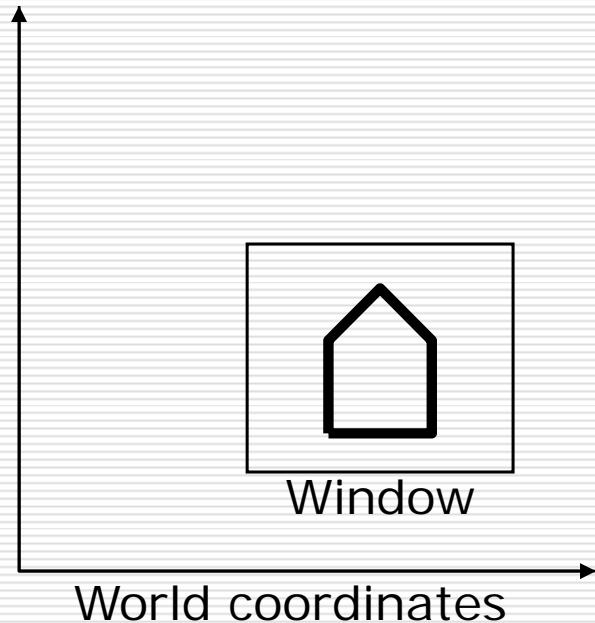
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$$\begin{aligned} T(x_1, y_1) \bullet R(\theta) \bullet T(-x_1, -y_1) &= \begin{bmatrix} 1 & 0 & x_1 \\ 0 & 1 & y_1 \\ 0 & 0 & 1 \end{bmatrix} \bullet \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \bullet \begin{bmatrix} 1 & 0 & -x_1 \\ 0 & 1 & -y_1 \\ 0 & 0 & 1 \end{bmatrix} \\ &= \begin{bmatrix} \cos \theta & -\sin \theta & x_1(1 - \cos \theta) + y_1 \sin \theta \\ \sin \theta & \cos \theta & y_1(1 - \cos \theta) - x_1 \sin \theta \\ 0 & 0 & 1 \end{bmatrix} \end{aligned}$$

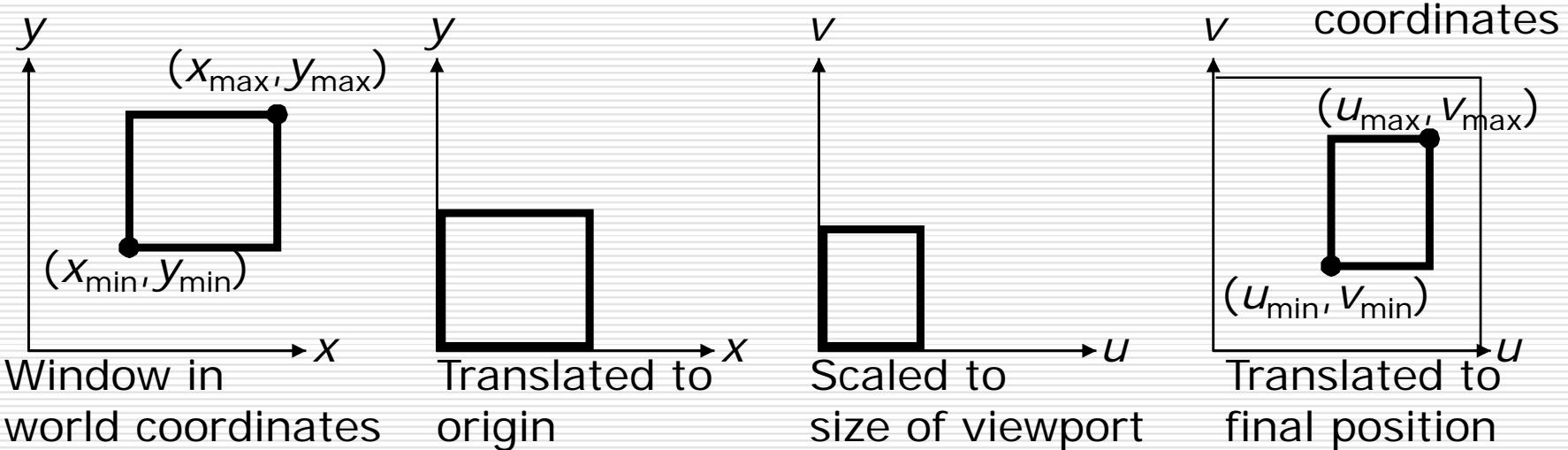
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# The Window-to-Viewport Transformation

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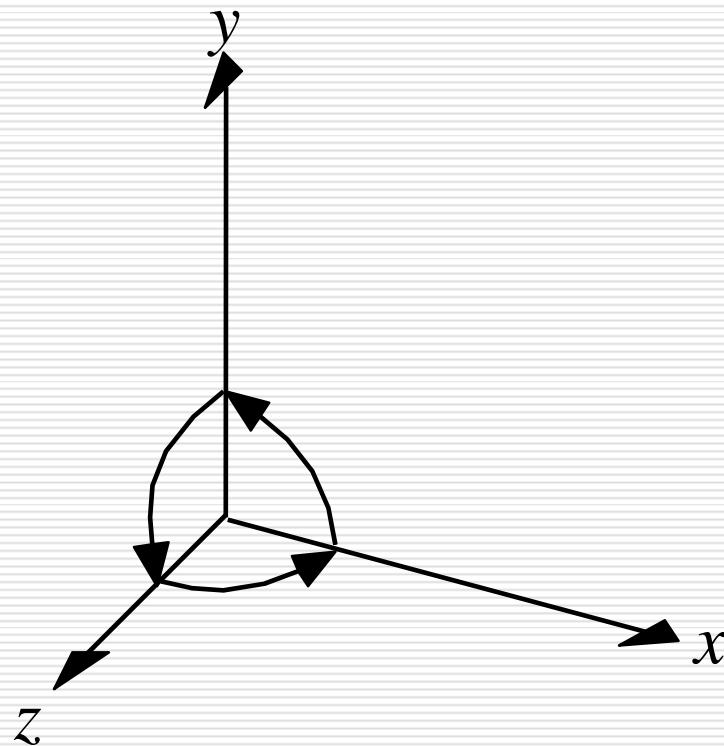
# The Window-to-Viewport Transformation



$$M_{wv} = T(u_{\min}, v_{\min}) \bullet S\left(\frac{u_{\max} - u_{\min}}{x_{\max} - x_{\min}}, \frac{v_{\max} - v_{\min}}{y_{\max} - y_{\min}}\right) \bullet T(-x_{\min}, -y_{\min})$$

# Right-handed Coordinate System

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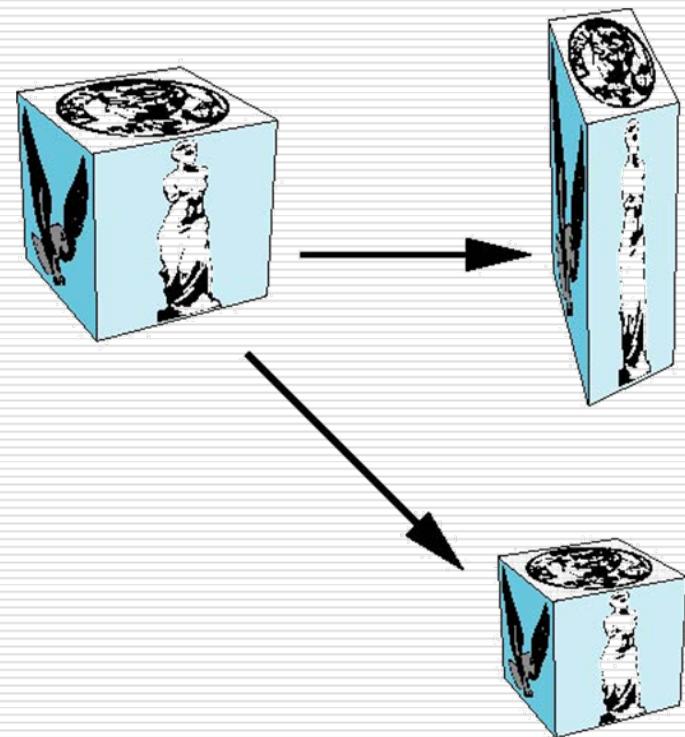
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# 3D Translation & 3D Scaling

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$$T(d_x, d_y, d_z) = \begin{bmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$S(s_x, s_y, s_z) = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



# 3D Reflection & 3D Shearing

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$$RE_x = \begin{bmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad RE_y = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

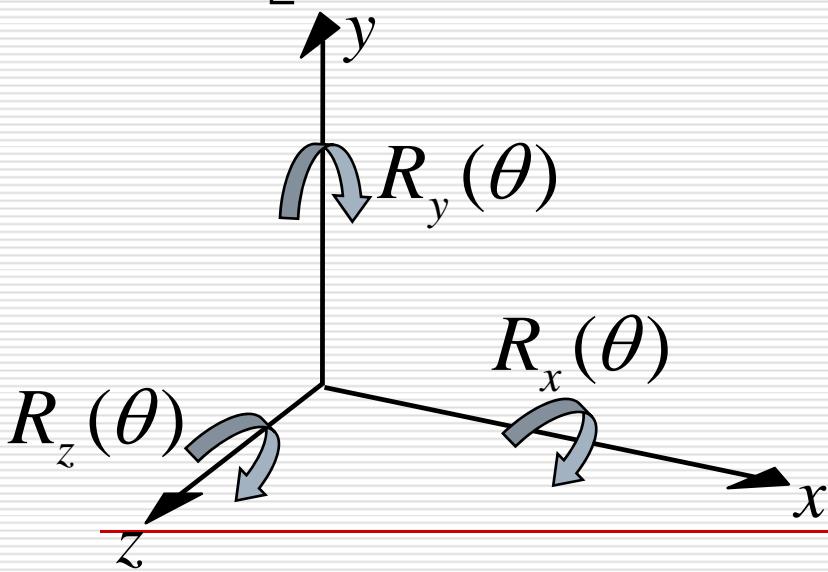
$$SH_{xy}(sh_x, sh_y) = \begin{bmatrix} 1 & 0 & sh_x & 0 \\ 0 & 1 & sh_y & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

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# 3D Rotations

$$R_z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$R_x(\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

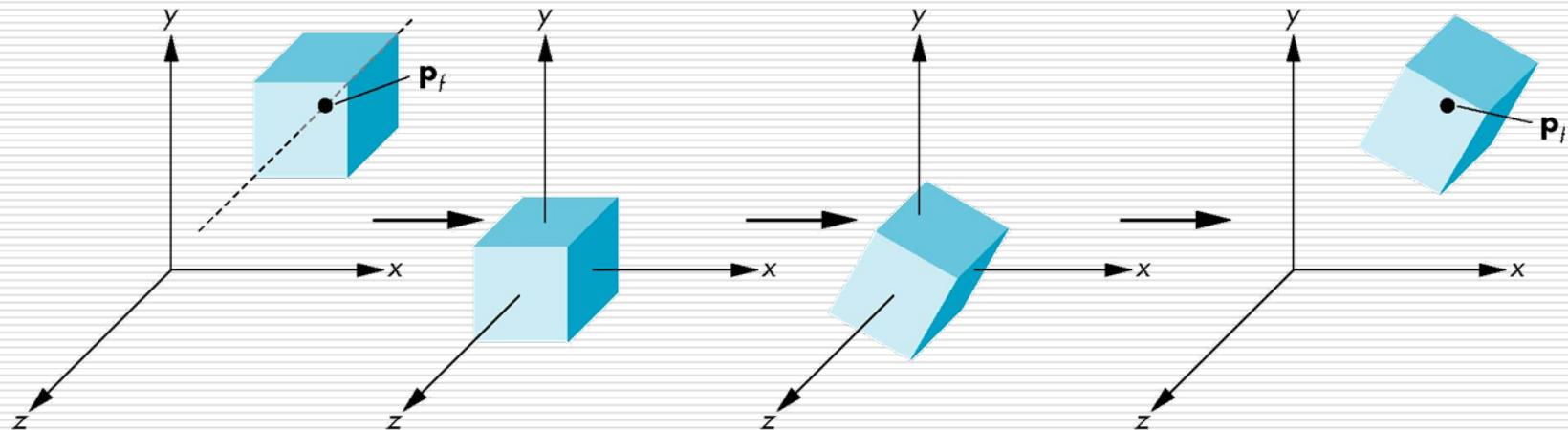


$$R_y(\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Rotation About a Fixed Point other than the Origin

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- Move fixed point to origin
- Rotate
- Move fixed point back
- $M = T(P_f) \bullet R(\theta) \bullet T(-P_f)$



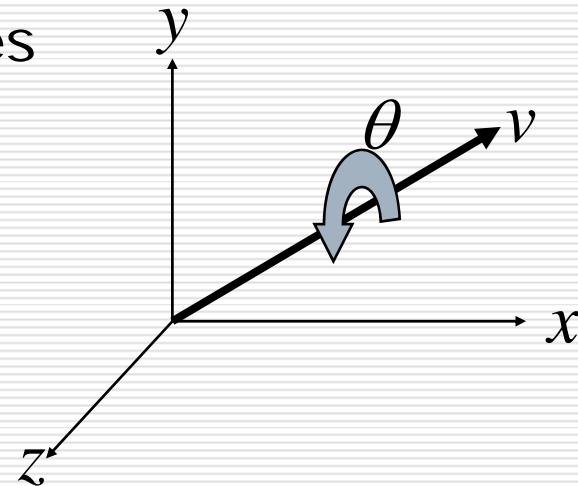
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# Rotation About an Arbitrary Axis

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- A rotation by  $\theta$  about an arbitrary axis can be decomposed into the concatenation of rotations about the  $x$ ,  $y$ , and  $z$  axes
  - $\theta_x, \theta_y, \theta_z$  are called the Euler angles

$$R(\theta) = R_z(\theta_z) \bullet R_y(\theta_y) \bullet R_x(\theta_x)$$

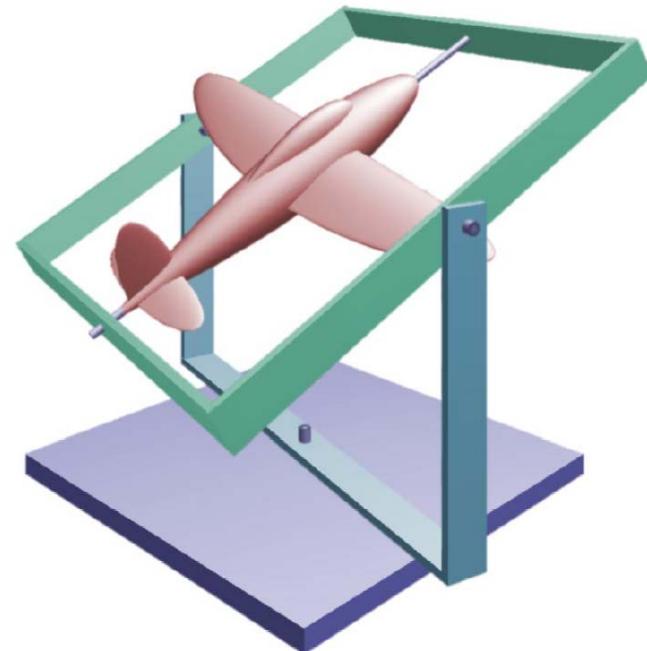


- Note that rotations do not commute
    - We can use rotations in another order but with different angles.
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# Euler Angles

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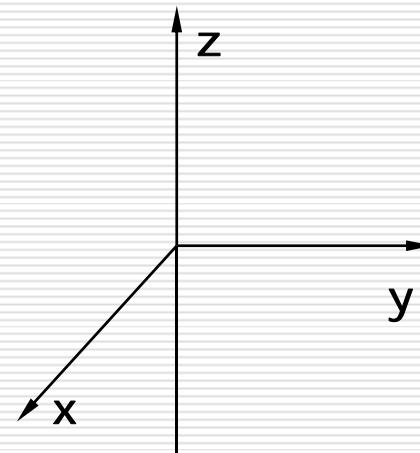
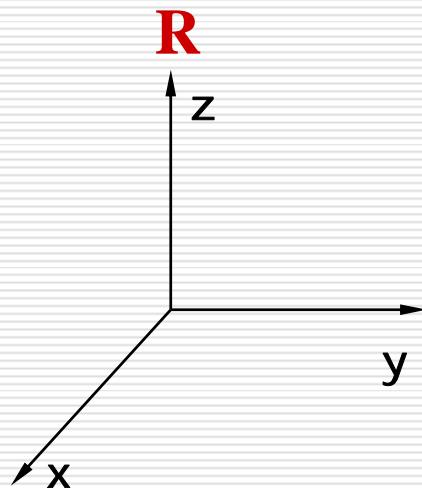
- An Euler angle is a rotation about a single axis.
- A rotation is described as a sequence of rotations about three mutually orthogonal coordinates axes fixed in space
  - X-roll, Y-roll, Z-roll
- There are 6 possible ways to define a rotation.
  - 3!



# Euler Angles & Interpolation

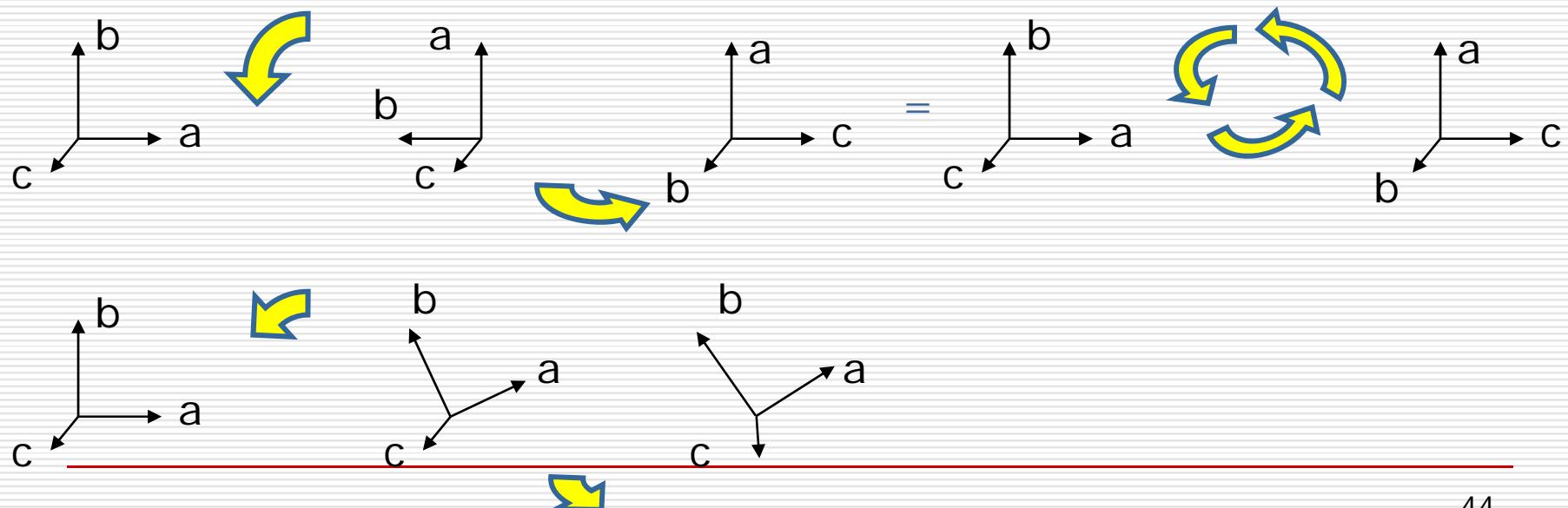
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- Interpolation happening on each angle
- Multiple routes for interpolation
- More keys for constraints



# Interpolating Euler Angles

- Natural orientation representation:
  - 3 angles for 3 degrees of freedom
- Unnatural interpolation:
  - A rotation of  $90^\circ$  first around Z and then around Y  
 $= 120^\circ$  around  $(1, 1, 1)$ .
  - But  $30^\circ$  around Z then Y differs from  $40^\circ$  around  $(1, 1, 1)$ .



# Smooth Rotation

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- From a practical standpoint, we are often want to use transformations to move and reorient an object smoothly
    - Problem: find a sequence of model-view matrices  $\mathbf{M}_0, \mathbf{M}_1, \dots, \mathbf{M}_n$  so that when they are applied successively to one or more objects we see a smooth transition
  - For orientating an object, we can use the fact that every rotation corresponds to part of a great circle on a sphere
    - Find the axis of rotation and angle
    - Virtual trackball
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# Incremental Rotation

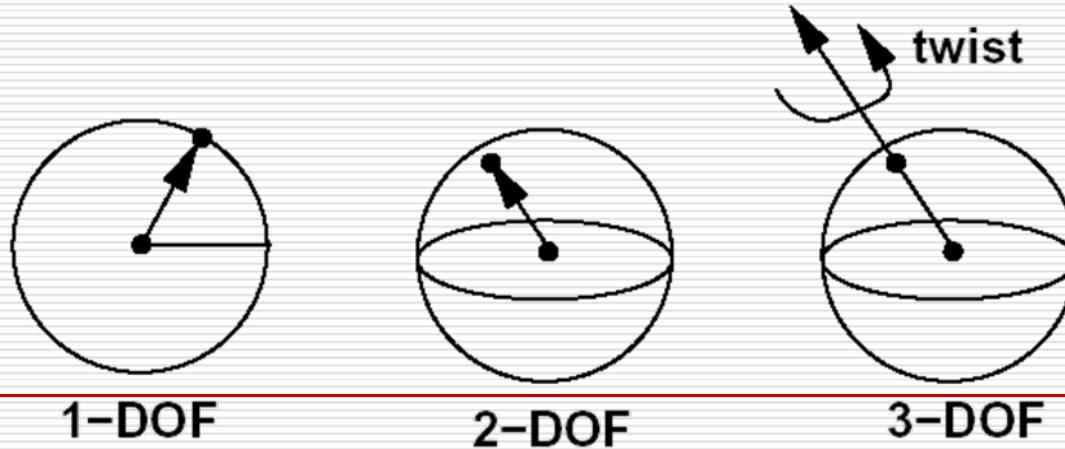
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- Consider the two approaches
    - For a sequence of rotation matrices  $\mathbf{R}_0, \mathbf{R}_1, \dots, \mathbf{R}_n$ , find the Euler angles for each and use  $\mathbf{R}_i = \mathbf{R}_{iz} \mathbf{R}_{iy} \mathbf{R}_{ix}$ 
      - Not very efficient
    - Use the final positions to determine the axis and angle of rotation, then increment only the angle
  - Quaternions can be more efficient than either
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# Solution: Quaternion Interpolation

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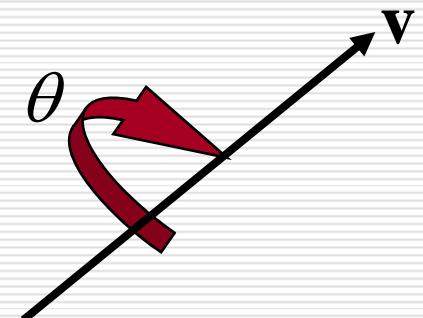
- Interpolate orientation on the unit sphere
- By analogy: 1-, 2-, 3-DOF rotations as constrained points on 1-, 2-, 3-spheres



# Quaternions

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- Quaternions are unit vectors on 3-sphere (in 4D)
- Right-hand rotation of  $\theta$  radians about  $\mathbf{v}$  is  $q = [\cos(\theta/2), \sin(\theta/2) \bullet \mathbf{v}]$ 
  - often noted  $[\mathbf{w}, \mathbf{v}]$



# Quaternions

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- Extension of imaginary numbers from 2 to 3 dimensions
- Requires one real and three imaginary components  $\mathbf{i}, \mathbf{j}, \mathbf{k}$ 
  - $q = q_0 + q_1\mathbf{i} + q_2\mathbf{j} + q_3\mathbf{k} = [\mathbf{w}, \mathbf{v}]; \mathbf{w} = q_0, \mathbf{v} = (q_1, q_2, q_3)$
  - where  $\mathbf{i}^2 = \mathbf{j}^2 = \mathbf{k}^2 = \mathbf{ijk} = -1$
  - $\mathbf{w}$  is called **scalar** and  $\mathbf{v}$  is called **vector**
- Quaternions can express rotations on sphere smoothly and efficiently. Process:
  - Model-view matrix  $\rightarrow$  Quaternion
  - Carry out operations with Quaternions
  - Quaternion  $\rightarrow$  Model-view matrix

# Basic Operations Using Quaternions

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- Addition  $q + q' = [\mathbf{w} + \mathbf{w}', \mathbf{v} + \mathbf{v}']$
  - Multiplication  $q \bullet q' = [\mathbf{w} \bullet \mathbf{w}' - \mathbf{v} \bullet \mathbf{v}', \mathbf{v} \times \mathbf{v}' + \mathbf{w} \bullet \mathbf{v}' + \mathbf{w}' \bullet \mathbf{v}]$
  - Conjugate  $q^* = [\mathbf{w}, -\mathbf{v}]$
  - Length  $|q| = (\mathbf{w}^2 + |\mathbf{v}|^2)^{1/2}$
  - Norm  $N(q) = |q|^2 = \mathbf{w}^2 + |\mathbf{v}|^2$
  - Inverse  $q^{-1} = q^* / |q|^2 = q^* / N(q)$
  - Unit Quaternion
    - $q$  is a unit quaternion if  $|q|=1$  and then  $q^{-1} = q^*$
  - Identity
    - $[1, (0, 0, 0)]$  (when involving multiplication)
    - $[0, (0, 0, 0)]$  (when involving addition)
-

# Angle and Axis & Eular Angles

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## □ Angle and Axis

- $q = [\cos(\theta/2), \sin(\theta/2) \bullet \mathbf{v}]$

## □ Eular Angles

- $q = q_{\text{yaw}} \bullet q_{\text{pitch}} \bullet q_{\text{roll}}$ 
    - $q_{\text{roll}} = [\cos(\psi/2), (\sin(\psi/2), 0, 0)]$
    - $q_{\text{pitch}} = [\cos(\theta/2), (0, \sin(\theta/2), 0)]$
    - $q_{\text{yaw}} = [\cos(\phi/2), (0, 0, \sin(\phi/2))]$
-

# Matrix-to-Quaternion Conversion

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```
MatToQuat (float m[4][4], QUAT * quat) {
    float tr, s, q[4];
    int i, j, k;
    int nxt[3] = {1, 2, 0};
    tr = m[0][0] + m[1][1] + m[2][2];
    if (tr > 0.0) {
        s = sqrt (tr + 1.0);
        quat->w = s / 2.0;
        s = 0.5 / s;
        quat->x = (m[1][2] - m[2][1]) * s;
        quat->y = (m[2][0] - m[0][2]) * s;
        quat->z = (m[0][1] - m[1][0]) * s;
    } else {
        i = 0;
        if (m[1][1] > m[0][0]) i = 1;
        if (m[2][2] > m[i][i]) i = 2;
        j = nxt[i];
        k = nxt[j];
        s = sqrt ((m[i][i] - (m[j][j] + m[k][k])) + 1.0);
        q[i] = s * 0.5;
        if (s != 0.0) s = 0.5 / s;
        q[3] = (m[j][k] - m[k][j]) * s;
        q[j] = (m[i][j] + m[j][i]) * s;
        q[k] = (m[i][k] + m[k][i]) * s;
        quat->x = q[0];
        quat->y = q[1];
        quat->z = q[2];
        quat->w = q[3];
    }
}
```

---

# Quaternion-to-Matrix Conversion

---

```
QuatToMatrix (QUAT * quat, float m[4][4]) {
    float wx, wy, wz, xx, yy, yz, xy, xz, zz, x2, y2, z2;
    x2 = quat->x + quat->x; y2 = quat->y + quat->y;
    z2 = quat->z + quat->z;
    xx = quat->x * x2; xy = quat->x * y2; xz = quat->x * z2;
    yy = quat->y * y2; yz = quat->y * z2; zz = quat->z * z2;
    wx = quat->w * x2; wy = quat->w * y2; wz = quat->w * z2;
    m[0][0] = 1.0 - (yy + zz); m[1][0] = xy - wz;
    m[2][0] = xz + wy; m[3][0] = 0.0;
    m[0][1] = xy + wz; m[1][1] = 1.0 - (xx + zz);
    m[2][1] = yz - wx; m[3][1] = 0.0;
    m[0][2] = xz - wy; m[1][2] = yz + wx;
    m[2][2] = 1.0 - (xx + yy); m[3][2] = 0.0;
    m[0][3] = 0; m[1][3] = 0;
    m[2][3] = 0; m[3][3] = 1;
}
```

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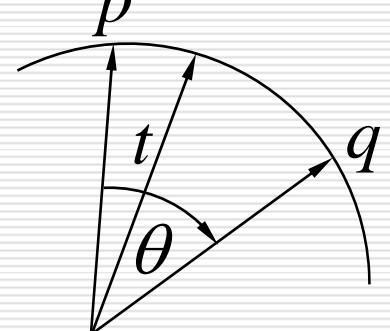
# SLERP-Spherical Linear intERPolation

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- Interpolate between two quaternion rotations along the shortest arc.

- $\text{SLERP}(p, q, t) = \frac{p \bullet \sin((1-t) \bullet \theta) + q \bullet \sin(t \bullet \theta)}{\sin(\theta)}$

- where  $\cos(\theta) = \mathbf{w}_p \bullet \mathbf{w}_q + \mathbf{v}_p \bullet \mathbf{v}_q$



- If two orientations are too close, use linear interpolation to avoid any divisions by zero.
-